

Privacy Policy

Tableaux LLC (“Tableaux”) recognizes that our relationships with current and prospective clients are based on integrity and trust. We work hard to maintain your privacy and to preserve the private nature of our relationship with you. We place the highest value on the information you share with us. Tableaux will not disclose your personal information to anyone unless it is required by law or at your direction. We will not sell your personal information.

We want our clients to understand what information we collect, how we use it, and how we protect your personal information.

Why We Collect Your Information

We gather information about you so that we can:

- Help design and implement the investment and financial planning related services we provide you; and
- Comply with the Federal and State laws and regulations that govern us.

What Information We Collect and Maintain

We may collect the following types of “nonpublic personal information” (“NPI”) about you:

- Information from our initial meeting or subsequent consultations about your identity, such as your name, address, social security number, date of birth, and financial information;
- Information that we generate to service your financial needs; and
- Information that we may receive from third parties in connection with the services we provide you.

What Information We Disclose

We are permitted by law to disclose nonpublic information about you to unaffiliated third parties in certain circumstances. Tableaux may disclose client's NPI: (1) to individuals and/or entities not affiliated with Tableaux, including, but not limited to third-parties that assist in the supervision or management of your account(s) (i.e., broker-dealer, sub-advisers, account custodian, record keeper, etc.); (2) service providers contracted by us in order for us to perform the duties in furtherance of the client's engagement with Tableaux (i.e. client management systems, archiving technology vendors, cloud service providers, etc.); (3) to your authorized representative or power of attorney; (4) when we receive your prior consent; and (5) as otherwise permitted to do so in accordance with the parameters of applicable federal and/or state privacy regulations.

Client acknowledges disclosure of non-public personal information to unaffiliated third parties in accordance with (1-5) directly above in order to design and implement financial planning and investment management services.:

Client Signature (s) _____

Tableaux cannot share its clients’ nonpublic personal information with non-affiliated third parties without first obtaining from the client an affirmative declaration of their permission to disclose such information. In the event Tableaux materially changes their Privacy Policy that would allow it to disclose non-public information not covered under applicable law, you will be provided the amended version, and an opportunity to “opt-in” to any changes.

How We Protect Your Personal Information

Privacy has always been important to Tableaux. We restrict and limit access to client information only to those who need to carry out their business functions. We safeguard client information by preventing its unauthorized access, disclosure, or use. We maintain physical, electronic, and procedural safeguards to

Privacy Policy

protect your confidential personal information. Arrangements with companies or independent contractors not affiliated with Tableaux will be subject to confidentiality agreements.

Former Clients

Even if we cease to provide you with financial services, our Privacy Policy will continue to apply to you, and we will continue to treat your nonpublic information with strict confidentiality. We maintain all records regarding all former clients for the retention period required by law.

Contact Us

Clients are encouraged to discuss any questions regarding privacy policies and procedures directly with Matthew Chester, Chief Compliance Officer of Tableaux. Please contact Matthew Chester at (413) 264-2403.

Vermont	In response to a Vermont regulation, the Client must “opt-in” to share non-public personal information with non-affiliated third parties before any personal information is disclosed. Client opt-in is obtained by written consent by the Client, as appropriate and consistent with applicable laws and regulators.
Massachusetts	In response to Massachusetts law, the Client must “opt-in” to share non-public personal information with non-affiliated third parties before any personal information is disclosed. Client opt-in is obtained through the Client’s execution of authorization forms provided by the third parties, by executing an Information Sharing Authorization Form, or by other written consent by the Client, as appropriate and consistent with applicable laws and regulations.